

## BACKGROUND OF THE INVENTION

### References to Related Application

This application is a continuation-in-part of ~~US~~ Serial No. 08/405,585 filed March 17, 1995. ~~Abandoned~~

## **Field of the Invention**

The invention relates generally to optical scanners, and in particular to scanners having dual or multiple working ranges.

Most optical scanners such as bar code scanners are adapted for use at a particular distance, or a range of distances, from an indicia to be scanned. If the user holds the scanner too close to the indicia, or too far away, the indicia and/or the flying spot beam will not be in focus, and decoding will not be possible.

Such scanners may not be particularly convenient in environments where a series of indicia to be read are presented to the scanner at various distances, and where it is difficult or impossible for the user to alter the distance between the scanner and the indicia. To deal with such situations, attempts have been made to expand the acceptable working range of conventional scanners, to give the user as much leeway as possible, and also to provide multi-distance scanners which can operate, for example, at a first working range or at a second working range according to the user's preference or requirements. One possibility is for the provision of a two-position switch on the scanner, with the scanner operating at a first working distance in a first position of the switch and at a second working

distance in a second position. A disadvantage of such scanners is that they require additional moving parts to provide for operation at the two separate working ranges. Such systems are also not "automatic" in the sense that the user has manually to select the correct working range, according to the distance of the current indicia to be read; if the incorrect working range is chosen, a decode will not result.

One of the difficulties that bar code reader designers face when attempting to produce increased working ranges is that the greater the working range, and the greater the range of possible indicia that might be read, the lower tends to be the resultant signal to noise ratio in light that is reflected from the indicia. One approach for dealing with this involves the provision of non-conventional optics, in which the optics associated with either the laser or with the photodetector have two distinct focal points. An example of this is shown in US Patent <sup>No.</sup> ~~US~~ 5, 332, 892, which is commonly assigned with the present application. In the device shown in that document, the two focal points are associated with corresponding circuitry to provide two separate channels of data derived from the scanned bar code. The two channels have differing resolutions. As the working angle and density vary, at least one of the resolutions is likely to be appropriate for sensing all or most of the bar coded data, regardless of the distance of the bar code with respect to the scanner and/or the size or density of the code. The scanning beams of bar code readers are typically derived from laser diodes. Such diodes are robust and relatively inexpensive, but they do suffer from the disadvantage that the beam emerging from a laser diode is astigmatic. The astigmatic laser diode can be <sup>characterized</sup> ~~characterised~~ as having two apparent light sources spaced apart from each other along the optical path. One of the light sources lies in a horizontal plane, appears to be coming from inside the laser diode chip, and has a low angular

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divergence. The other apparent light source lies in a vertical plane, appears to be coming from a facet of the chip, and has a high angular divergence. The two apparent light sources, which are spaced apart from each other by typically about 20 micrometers, form two beam waists in different planes and in different directions, as measured relative to the planar junction of the chip.

The resultant relatively complex beam profile may need selective shaping before it can efficiently be used in an optical scanner. Some methods of providing such beam shaping are described in our co-pending US patent application 08/268,982, filed June 30, 1994, the teachings of which are incorporated herein by reference.

A simpler option is simply to provide separate long and short range visible laser diodes, as is suggested in our earlier patent <sup>U.S. Patent No.</sup> US 5,420,411.

A further problem associated with known arrangements is that of distinguishing the respective images received corresponding to objects in each working range.

The present invention, in various other embodiments, further relates to optical scanning stations having a conveyor for moving articles carrying indicia to be read past an optical scanner, and to optical scanners having means for manually or automatically selecting one of a plurality of desired scan patterns.

The invention further relates, in yet further embodiments, to scanners having an extended working range using two lasers, each focused to cover a different portion of the scan distance. Present systems turn on one laser for a full scan, and then the second laser for the next scan, alternating on a scan-by-scan basis. Since, in general, only one of the lasers is capable of reading a bar pattern at any given distance, this technique typically doubles the length of time that it takes to generate a successful decode over single-laser embodiments. There is a

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### **Objects of the Invention**

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It is yet a further object to provide an optical scanner which maintains the range benefits of a two-laser system, while providing the rapid decoding typical of a one-laser system.

### Features of the Present Invention

According to a first aspect of the present invention there is provided a multiple working range optical system comprising an optical assembly having first and second optical elements and associated respective first and second narrow band optical filters for producing narrow band images of objects at respective first and second working ranges.

10 Preferably, the system includes a selectable filter array having first and second selectable filters, and a switch mechanism for selecting the first or second working ranges, whereby:

- (a) When the first working range is selected, light from an object is imaged by the first optical element in a narrow band defined by the first narrow band optical filter and the first selectable filter; and
- 15 (b) When the second working range is selected, light from an object is imaged by the second optical element in a narrow band defined by the second narrow band optical filter and the second selectable filter.

In the preferred embodiment, light entering the optical system passes through both first and second narrow band optical filters, and to the first and second optical elements. The first optical element images an object at a first working distance at an image plane, and the second optical element images an object at a second working distance at the same image plane. Just in front of that image plane is selectively positioned either a first or a second selectable filter, the first selectable filter having the same optical characteristics as the first narrow band optical filter, and the second having the same optical characteristics as the

second narrow band optical filter. When the first selectable filter is in position, light which has passed through the second narrow band optical filter is preferably blocked, so that the image is defined by light which has passed through the first narrow band optical filter only. Since this light has been acted upon by the first optical element, it represents the imaging of an object at the first working range. The opposite applies, of course, when the second selectable filter is in position.

The first and second optical elements are preferably segments of a multi-focus diffractive lens, although other configurations could be envisaged.

One possible configuration, for example, would be for the first optical element to be defined by the central section of a circular multi-focus lens, with the second optical element being defined by the annular portion surrounding the central section. Such a lens might conveniently be a Fresnel lens. The optical elements need not however be restricted to lenses: mirrors and/or holographic optical elements could equally well be used.

Although such a multiple working range optical system will in the preferred embodiment be incorporated within an optical scanner, for example a bar code reader, other usages could be envisaged. For example, the system could be used in a CD ROM pick-up, a camera, a telescope or a microscope.

According to a second aspect of the present invention there is provided an optical scanner for reading indicia comprising a housing, a beam generator and scanner, within the housing for producing a scanning light beam, a window in the housing allowing the light beam to exit the housing toward the indicia to be read, and a light detector for detecting reflected light for said indicia; the housing having a strap for positioning around the neck of a user whereby the scanner may be worn as a pendant.

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According to a further aspect of the present invention there is provided an optical scanning station having a conveyor for moving articles carrying indicia to be read past a support, the support being arranged to hold an optical scanner in an elevated position, above said conveyor, said optical scanner having a beam generator and scanner for producing a scanning light beam, said beam being directed downwardly to read indicia on articles that pass on said conveyor under said optical scanner.

According to yet a further aspect of the invention there is provided an optical scanner having first and second lasers for producing respective first and second laser beams, a laser controller for pulsing the lasers, a scanning mechanism for receiving the laser beams and for directing the beams toward an indicia to be read, a detector for detecting light reflected from the indicia and for producing electrical signals representative thereof, and a signal separator for extracting from the electrical signals first and second output signals representative respectively of reflections from the indicia of the first and second laser beams.

In one preferred embodiment, the lasers are pulsed alternately, with the signal separator including a demultiplexer. In another variant, the lasers are pulsed at differing frequencies, and the signal separation is carried out by appropriately tuned bandpass filters.

According to yet a further aspect of the present invention there is provided a multi scan-pattern optical scanner including a laser assembly for producing a plurality of laser beams of differing wavelengths and a scanning mechanism including a wavelength selector for selectively passing a beam of predefined wavelength, thereby producing at least a first scan pattern from a beam which is passed by the selector and a second scan pattern from a beam which is stopped by the selector.

A device of this type allows for the creation of multiple scan patterns without the need to have individual scanning mechanisms for each pattern. In a preferred embodiment, parts of the scanning mechanism are coated or otherwise provided with filters to absorb, or to prevent the reflection of, at least one of the beams. Alternatively, parts of the mechanism may be coated so that only those coated parts reflect one of the beams. Preferably, the coatings may be thin coatings which achieve their reflective/absorptive effect by optical interference.

It would also be possible to provide holographic optical elements and/or diffraction gratings to separate two laser beams of differing wavelengths, and thereby allow them to be deflected differently by a single scanning mechanism.

Preferably, each laser is provided with its own optical assembly, providing individual focusing and allowing each laser to scan efficiently at a given working distance. To extend the overall working range even further, more than two lasers could be used, each having its own working distance.

The invention extends to any individual feature described above or set out in the specific description, and to any compatible combination of features. It is to be understood, in particular, that features shown in relation to one figure may be combined, where compatible, with features shown in connection with any other figure.

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#### **BRIEF DESCRIPTION OF THE DRAWINGS**

The invention may be carried into practice in a number of ways and several specific embodiments will now be described, by way of example, with reference to the accompanying drawings, in which:

Fig. 1a shows a multiple range optical system according to an embodiment of the present invention;

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Fig. 1b is an end view of the multi-focus lens used in the arrangement of Fig. 2a;

Fig. 2 shows a typical bar code scanner in conjunction with which the present invention may be implemented;

<sup>3a-3c</sup>  
Fig. 3<sup>a</sup> shows a mounting option for an optical scanner;

<sup>3b</sup>  
Fig. 3<sup>b</sup> shows a user carrying the optical scanner in the mounting option of Fig. 3a;

Fig. 4a shows an alternative mounting option for an optical scanner;

Fig. 4b shows the optical scanner of Fig. 4a being used in dismounted mode;

Fig. 5 shows the internal arrangement of the scanner of Fig. 3a incorporating the multiple range optical system of Fig. 1; and

<sup>Fig. 6a-6c show</sup>  
<sup>1</sup> Fig. 6 shows an exemplary housing for a bar code scanner for use with any of the embodiments of the present invention;

Fig. 7 schematically illustrates an embodiment of a scanner having two pulse-mode operated lasers;

Fig. 8 shows a variation on the embodiment of Fig. 7;

Fig. 9 illustrates the use of filtered optics to implement multi-scan pattern generation; and

Figs. 10a to 10c show scan patterns generated by the embodiment of Fig. 9;

Fig. 11 shows yet another embodiment, namely a dual-range scanner using dual laser beams; and

Fig. 12 shows in more detail a segmented scan mirror used in the embodiment of Fig. 11.

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### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Referring first to Fig. 1, there is shown a multiple working range optical system according to one embodiment of the present invention, forming effectively an imaging auto-focusing device. The arrangement comprises a lens system  $L_1, L_2$  and a narrow band filter system  $NBF_1, NBF_2$ . Although any number of lenses and filters can be included allowing, as will become clear from the following discussion, a corresponding number of working ranges, in the present embodiment for the purposes of simplicity a system including two lenses and associated filters is shown, providing two working ranges.

The lens system shown in Figs. 1a and 1b comprises two semi-circular convex lens elements  $L_1, L_2$  with respective focal lengths  $F_1, F_2$ . Two working ranges  $W_1, W_2$  are selected and the lens power of the respective lens element is selected such that the conjugate image planes corresponding to the object planes defined by working ranges  $W_1, W_2$  coincide at plane P. Accordingly a first object  $S_1$  at working range  $W_1$  is focused onto plane P by lens element  $L_1$ . Additionally a second object  $S_2$  at working range  $W_2$  is focused by lens element  $L_2$  at plane P. Thus the system is able automatically to focus objects at different ranges. It will be understood that, in the case of a bar code reader, the objects  $S_1, S_2$  would be bar code symbols to be read. Because the conjugate image planes coincide for the working ranges, only a single detector D is required; this could, for example, be a charge coupled device array, or conventional film. Furthermore complex beam propagation or mirror assemblies are not required. In order to increase the number of working ranges the lens system is simply enhanced by including further lens elements and associated filters arranged to image objects in additional working ranges onto the plane P. In such a case, each lens element would form a segment of a circular multi-focus lens.

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Associated with each lens element  $L_1$ ,  $L_2$  is a respective spectral narrow band filter  $NBF_1$ ,  $NBF_2$ . The filters  $NBF_1$ ,  $NBF_2$  are arranged to pass different wavebands of light received from the objects  $S_1, S_2$ . As a result the images of the objects  $S_1$ ,  $S_2$  at plane  $P$  are composed of light of different wavelengths and can be resolved from one another. In order to do this, the system is provided with additional movable, filters  $NBF_1'$ ,  $NBF_2'$ . Each filter can be moved into position in front of the detector  $D$  to allow light of the relevant wavelength to pass dependent on which working range is selected. If the working range  $W_1$  is selected, light from the object  $S_1$  passes through the lens element  $L_1$ , is filtered at  $NBF_1$ , and then passes through the movable filter  $NBF_1'$  (now in position in front of the detector  $D$ ); light which may have passed through  $NBF_2$  and lens element  $L_2$  is blocked at  $NBF_1'$  and so cannot form an image at  $D$ . If the working range  $W_2$  is selected,  $NBF_2'$  is moved into position, and  $S_2$  is imaged; light from  $S_1$  passing through  $L_1$  and  $NBF_1$  is then blocked at  $NBF_2'$ .

Figure 2 illustrates an exemplary hand-held laser scanner suitable for use with the embodiment of Figure 1, or indeed suitable for use with any other compatible embodiments to be subsequently described.

The scanner of Figure 2 comprises a main body 1 having a graspable hand portion 2 which carries a trigger 3. Within the body 1 is a laser module 4. Light from the laser module 4 is arranged to shine onto to an oscillating mirror 5. The resulting beam 6 passes out of the housing via a window 7. The mirror 5 is arranged to oscillate in such a way that the beam 6 traces out a scan line 8 across an indicia 9 to be recorded. Light reflected back from the indicia passes through the window 7, is collected by a collecting mirror 10, and is reflected to a photodetector 11. The optical signal is then converted into an electrical signal and the features of the indicia 9 determined.

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actuating button 39. As a result the scanner 30 can be used in a wide variety of applications and in particular, for example, applications where items are too bulky or heavy or inappropriately positioned to be passed under the arch 31 and scanned.

✓ 5 Fig. 5 shows in more detail the pendant scanner of Fig. <sup>3a-3d</sup>~~3a and 3b~~ incorporating the multiple working range optical arrangement of Fig. 1a. The scanner 20 is shown in partial cross section and partly from one side. The scanner comprises an elongate body having a long broad face 40 for resting against the user's chest when used as a pendant and an opposing face 41 in which is  
10 positioned a scanning window 42. The opposing face 41 is preferably slightly curved or otherwise inclined such that the scanning window points at a shallow downwards angle as ergonomically appropriate when the user is holding an item to be scanned at waist level.

The scanner 20 includes a light source 43 such as a laser or LED and a  
15 scanning mirror 44 which is rotated in a known manner to oscillate about an axis A. The light beam 45 generated by the laser 43 is reflected by the mirror 44 through the scanning window 42 onto a printed indicia such as a bar code symbol 46 to be read. Light reflected from the bar code symbol 46 passes back through the window 42 and is reflected once more by the mirror 44 via the lens system  $L_1$ ,  
20  $L_2$  onto a detector 47 such as a CCD array aligned with the image conjugate plane P. As discussed above, narrow band filters  $NBF_1'$ ,  $NBF_2'$  are associated with the lenses  $L_1$ ,  $L_2$  respectively and can be moved in the direction implicated by the double-headed arrows in and out of position in order to select a desired working range. The filters are mounted for reciprocal movement by means of a rack 48  
25 which is driven back and forth by a toothed wheel 49 driven by a motor and controller (not shown). Of course, any suitable method can be used.

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The desired working range can be selected manually by user input, or automatically by identifying for which working range an object is focused, for example by introducing each of the filters in turn and ascertaining which of the narrow band images is in focus.

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This embodiment incorporates dual laser assemblies 1310, 1311 emitting parallel beams. The laser assembly 1310 is focused for short-range operation. This will be referred to, for shorthand, as the "short-range laser". Similarly, the laser assembly 1311 is focused for long-range operation. This will be referred to, for shorthand, as the "long-range laser". Appropriate optics 1312, 1314 define the working range and different beam profile characteristics of the lasers. Alternatively, the short-range laser, and its optics, may be identical with the long-range laser and its optics.

The beam from the long-range laser 1311 is reflected by a pair of parallel angled mirrors (or by an appropriately shaped prism) so that the two resultant laser beams are closely parallel to each other. The beams impinge upon a scanning mirror 1322 from which they are reflected onto an indicia to be read (not shown, but off to the left of the drawing in Figure 11).

Instead of there being separate long range and short range lasers, a single laser could be used instead with appropriate optics (for example a beam splitter).

Light reflected back from the indicia is collected by the mirror 1322 (which acts as a collection mirror as well as a scanning mirror) and is directed to a photodetector 1324).

Details of the mirror 1322 are shown in Figure 12. As will be seen, the mirror is generally curved, and includes a large area of collecting surface 1324 with a central section which is split into two. The left side of the central section 1325 has a cylindrical profile, and the right hand section 1327 a flat profile. The light beam from the short-range laser 1310 impinges upon the portion 1326, and the light from the long-range laser 1311 impinges upon the portion 1328.

In use, a laser control operates the lasers so that they are switched on and off alternately. The system is controlled so that two scans (left to right, then right

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to left) are performed with the long-range laser on, and then two scans with the short-range laser on. The alternation continues until a successful decode has been achieved.

5 The system also provides for an aiming mode which is initiated by a user selecting a first position of a trigger 1328 on the scanner housing 1330. In this mode, the controller 1326 causes the long-range laser 1311 to blink on and off while moving the mirror 1322. In this mode the user can easily see the scanning beam and he can align the indicia accordingly. As soon as the indicia has been properly aligned with respect to the scanner, the user moves the trigger 1328 to a  
10 second position to commence scanning proper.

15 In another embodiment, the laser assemblies 1310, 1311 have lasers of different frequencies. Visible laser diodes are now available in two different wavelengths, 635mm and 670mm. The shorter wavelength device (635mm) is more visible to the eye, and may preferably be used in high ambient light conditions or for aiming. Thus, in the preferred implementation, the 670mm laser diode could be focused as the "short range laser" and the 635mm laser diode focused as the "long range laser" since at long range the brightest beam is desirable for visibility and aiming. In high ambient light conditions, it is possible to use both lasers on, rather than alternate between the lasers. Although there  
20 will be two beams, if the beams are properly focused at the target plane so the spots are overlapping or very closely adjacent, the bar code symbol can be effectively read.

25 In still another embodiment, one of the laser assemblies 1310, 1311 is a visible laser and the other assembly an IR laser, whose beam is generally not visible to the eye. IR lasers are suitable for use in applications such as reading security badges that require an IR reading beam, reading direct thermal printed

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bar codes; and reading certain colored bar codes. The same arrangement as shown in Fig. 11 may be used, except there is no limitation that the optics be "short range" or "long range". As in the previous embodiment, one can alternate scans between lasers or use both lasers on.

5 Yet another embodiment is shown in Figure 7. Here lasers 201,202 are used, each having its own separate focusing optics 203,204. The optics differ in focal length, giving the two laser beams 205,206 differing points of focus and hence differing working ranges. Both beams are scanned by a scanning mechanism such as an oscillating mirror 207, and are directed towards <sup>and</sup> indicia 10 208 to be read. It will be understood that because of the differing focus lengths of the optics 203,204, only one of the two scanning beams will properly be in focus at the indicia 208. If the distance to the indicia is small, the beam corresponding to the optics of shorter focal length will be in focus; if the distance is large, the other beam will be in focus.

15 Light 210 which has been reflected from the indicia 208 is collected by a collecting mirror 211, and passed to a photodetector 212. This produces an electrical output signal on a line <sup>213</sup> ~~203~~ which is representative of the changes in intensity within the reflected light.

20 The lasers 201,202 are repeatedly pulsed by a controller 260, at the same rate but out of phase so that one laser is on while the other is off. The controller also sends a signal along a line 261 to a time divisional sampler and demultiplexer 214 which accepts as input the signals on the line 213, and separates them out into a first pulse stream on a line 215 representing pulses from the first laser 201, and a second pulse stream on a second line 216 representing pulses from the second 25 laser 202. In order to ensure that the sampling is accurate, the time division

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demultiplexer 214 samples at a rate greater than the Nyquist limit (twice the highest frequency contained in the signal on the line 213).

The individual pulse streams on the lines 215,216 are each individually processed by respective decoders 217,218 to provide respective high level outputs 219,220. The simultaneous processing/decoding allows for the indicia 208 to be decoded without delay, regardless of whether it is positioned at a distance suitable for scanning by the laser 201, or at a distance suitable for scanning by the laser 202. It will be understood, of course, that typically only one of the decoders 217,218 will produce a "valid decode" output for a given indicia at a given distance from the scanner.

Figure 8 shows a variant of the embodiment of Figure 7, with identical elements being given identical reference numerals. In this variant, the lasers are pulsed by the controller 260 at two different and unrelated frequencies. For the sake of discussion it will be assumed that the laser 201 is pulsed at a frequency  $f_1$ , and the laser 202 at a frequency  $f_2$ ; it will further be assumed that the signal bandwidth is  $f_s$ .

The output signal on the line 213 is then sampled at 229, and the sampled signal is passed through two bandpass filters 230,231. The filter 230 has a passband of  $f_1$  plus or minus  $f_s$ , whereas the second filter 231 has a passband of  $f_2$  plus or minus  $f_s$ . This filtering separates the output of the two lasers in the frequency domain, so that the output of the filter 230 on the line 232 represents the signal just from the laser 201, and the output from the filter 231 on the line 233 represents just the other laser 202. The two signals are processed/decoded simultaneously by respective decoders 234,235 to produce individual outputs 236,237. As before, this simultaneous decoding eliminates delay.

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In either the embodiment of Figure 11, or the embodiments of Figures 7 and 8, the laser beams may if desired be directed onto two separate regions within the field of view. This could be achieved either by providing an appropriate spacing between the parallel laser beams, or by arranging for the laser beams to be slightly out of parallel alignment. The light which has been reflected from the two respective regions in the field of view may then be sensed simultaneously to produce two data streams which are related to the detected light intensity in the respective two regions. From those two data streams, a single decoded representation\$ may be derived.

Depending on the set-up of the lasers, the reflected light from the two regions may differ either in intensity, frequency, or pulsing frequency. Such differences provide a convenient way of discriminating between light which has been reflected from the individual <sup>regions</sup> reasons, thereby enabling the two data streams to be conveniently separated. This applies whether the two regions are entirely separate from each other within the field of view, or whether they overlap.

It will be understood that both the embodiment of Figure 7 and the variation of Figure 8 is not restricted to the use of exactly two lasers. As many lasers may be used as is required, with different working <sup>ranges</sup> ranged for each.

In some implementations it may be desirable not only to change the working distance, but also to change the scan pattern generated by an optical scanner. This may be achieved by means of the embodiment of Figure 9.

A laser 300 generates a beam 302 which is focused and shaped by beam optics 304 and directed to a stationary flat mirror 306. The beam is reflected from the mirror and onto a rotating polygon 308, which is driven for rotation about an axis 309. The reflections from the polygon produce a scanning laser beam 310.

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It will be understood of course that more than two lasers could be used, each having a different wavelength. The described wavelengths of 670 and 630nm, while preferred, could be varied if necessary accordingly to the particular application.

While the optical system of Fig. 1 has been described in relation to a bar code reader it will be appreciated that it could equally be used in any optical arrangement requiring differing working ranges. For example the invention could  
20 be used in conjunction with a CD ROM pick-up, a camera, a telescope or other optical systems.

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Without further analysis, the foregoing will so fully reveal the gist of the present invention that others can, by applying current knowledge, readily adapt it for various applications without omitting features that, from the stand point of prior art, fairly constitute essential characteristics of the generic or specific aspects of this invention. Accordingly, such adaptations should be and are intended to be comprehended within the meaning and range of equivalence of the following claims.

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